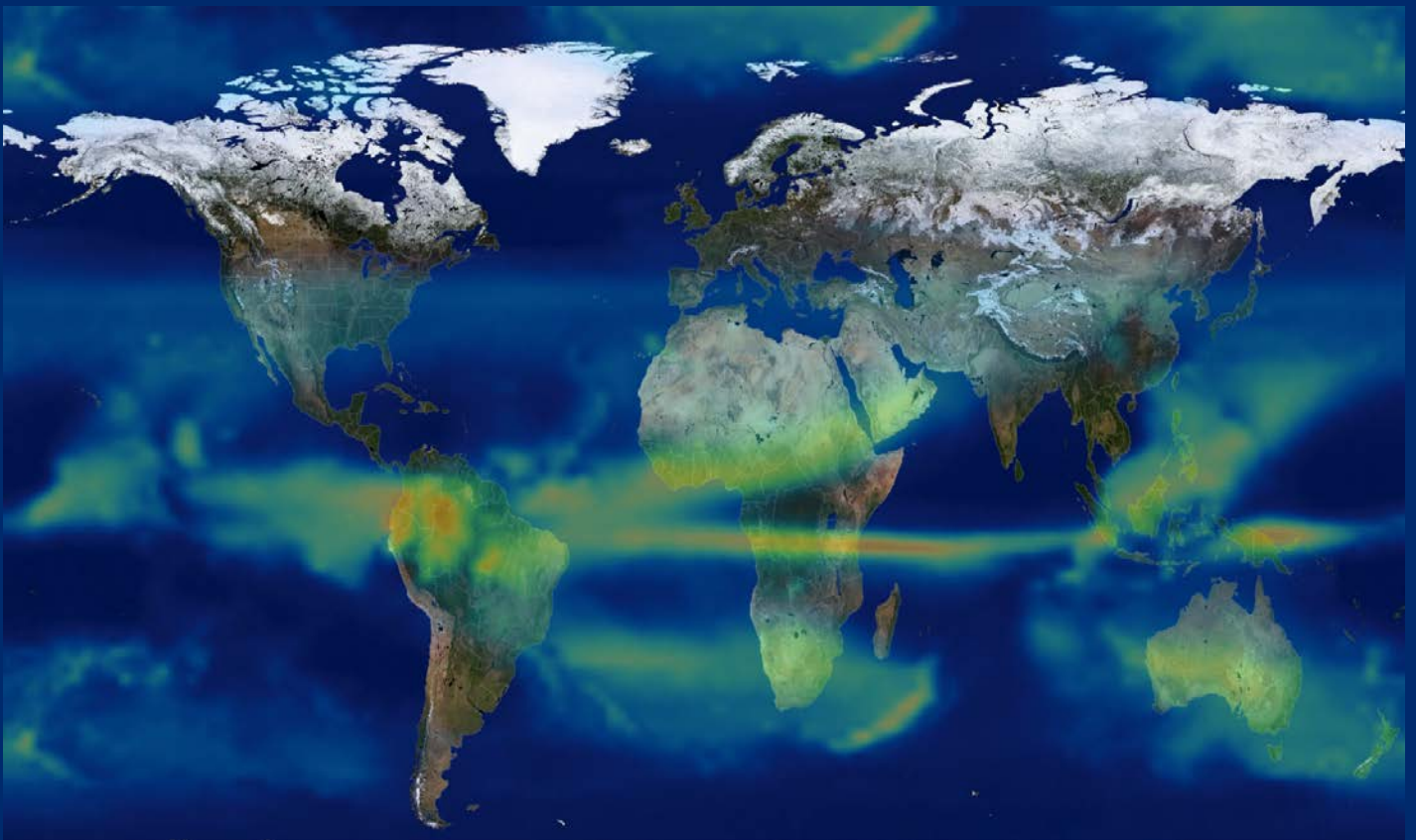




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FROM THE AMERICAN PEOPLE

## ENVIRONMENTAL COMPLIANCE & ENVIRONMENTAL PROCEDURES WORKSHOP

# PARTICIPANT WORKBOOK



31 JULY 2012

**Cover image:** *This map of global rainfall for November 1997 depicts rainfall amounts progressively in green, yellow, orange and red. It shows the tail end of the lesser rainy season in West Africa, and the onset of the rainy season in Papua New Guinea, among other regional patterns. Over the past 15 years, these and other once-reliable annual rainfall patterns have exhibited unusual variability in many regions, with significant consequences.*

**Credit:** *NASA/Goddard Space Flight Center Scientific Visualization Studio; Global Precipitation Climatology Project (GPCP): NOAA Satellite and Information Service; National Environmental Satellite Data and Information Service (NESDIS); National Climatic Data Center.*

# ENVIRONMENTAL COMPLIANCE & ENVIRONMENTAL PROCEDURES WORKSHOP

## PARTICIPANT WORKBOOK

### VERSION

31 July 2012

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### DISCLAIMER

The author's views expressed in this publication do not necessarily reflect the views of the United States Agency for International Development or the United States Government.



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Bureau for Economic Growth, Agriculture and Trade (*retired*)

## Workshop Agenda & Workbook Table of Contents

[note: agenda is configured for a typical 1.5-day delivery; adjust as needed]

Start-End	Duration	No.	Session Title
<b>Day 1</b> <b>insert date</b>			
8.30-9.00	30 minutes	1	<b>Introductions and Workshop Overview</b>
9.00-9.45	45 minutes	2	<b>Environmental Compliance for Environmentally Sound Design &amp; Management</b>
9.45-10.30	45 minutes	3	<b>Environmental Impact Assessment: A Framework for Environmentally Sound Design &amp; Management</b>
10:30-10:50	20 minutes	<i><b>BREAK</b></i>	
10:50-11:20	30 minutes	4	<b>The Story of Zaragosa: Why Environmental Impact Assessments are Important</b>
11:20-12:00	40 minutes	5	<b>22 CFR 216: USAID’s Pre-Implementation Environmental Impact Assessment Process</b>
12:00-13:00	60 minutes	<i><b>LUNCH</b></i>	
13:00-13:50	50 minutes	6	<b>Environmental Impact Assessment Core Skills, Part 1</b>
13:50-15:20	90 minutes	7	<b>“Virtual” Field Visits</b>
15:20-15:40	20 minutes	<i><b>BREAK</b></i>	
15:40-16:40	60 minutes	8	<b>Initial Environmental Examinations: What Makes them Effective?</b>
<b>DAY 2</b> <b>insert date</b>			
8:30-9:30	60 minutes	9	<b>Environmental Impact Assessment Core Skills, Part II</b>
9:30-10:30	60 minutes	10	<b>Environmental Compliance: Roles, Responsibilities, Processes and Resources</b>
10:30-10:50	20 minutes	<i><b>BREAK</b></i>	
10:50-11:50	60 minutes	11	<b>Reality on the Ground: State of Compliance and “What Would You Do If?”</b>
11:50-12:20	30 minutes	12	<b>Post-Workshop Test &amp; Workshop Evaluation</b>

## About this Workshop

USAID’s goal is to “promote economic prosperity; strengthen democracy and good governance; improve global health, food security, environmental sustainability and education and help societies prevent and recover from conflicts.”

Environmental issues can have a significant impact on the success of any development project, and conversely, development projects can have a significant impact on the environment—and thereby on human health and livelihoods.

USAID’s mandatory environmental procedures apply to every USAID activity. They are the Agency’s principal mechanism to assure environmentally sound design and management of USAID-funded activities—and thus to protect environmental resources, ecosystems, and the health and livelihoods of beneficiaries and other groups. They strengthen development outcomes and help safeguard the good name and reputation of USAID.

Responsibility for compliance is the responsibility of all USAID staff who design programs, write solicitations and evaluate proposals, monitor the progress of projects and activities, and provide support to implementing partners as they carry out development programming in any sector. All need a basic understanding of what environmental compliance means, why it is important and what support resources are available.

### Goal of the workshop:

The *overall goal* of this workshop is to strengthen development outcomes by building our motivation, knowledge and skills to systematically comply with USAID’s environmental procedures and otherwise take environmental issues into full consideration as we design, award and monitor projects and programs.

### Workshop objectives:

By the end of the training, we will be able to:

- Identify at least three reasons why environmentally sound design and management is important to successful development outcomes;
- Articulate at least three reasons why complying with the Agency’s environmental procedures is important to USAID projects;
- Articulate the key compliance requirements established by USAID’s mandatory environmental procedures over life of project;
- Demonstrate basic familiarity with the core EIA processes and skills needed for environmental compliance.
- Articulate our responsibilities and those of others for environmental compliance.
- Identify resources available for supporting environmental compliance and best practices.

## Acronyms

AA	Assistant Administrator
AEC	Agency Environmental Coordinator
ADS	Automated Directives System
AFR	Bureau for Africa (USAID)
AO	Assistance Objective
AOR	Agreement Officer's Representative
BEO	Bureau Environmental Officer
BPR	Environmental Procedures Best Practices Review
CBOs	community based organizations
CE	Categorical Exclusion
CEQ	Council on Environmental Quality (United States)
CFR	Code of Federal Regulations (United States)
22 CFR 216	Title 22, Code of Federal Regulations Part 216. (US Federal regulation defining USAID's pre-implementation environmental review procedures.)
COR	Contracting Officer's Representative
DCHA	Bureau for Democracy, Conflict and Humanitarian Assistance
DO	Development Objective
EA	Environmental Assessment (22 CFR 216 documentation)
E3	Bureau for Economic Growth, Education and Environment (USAID)
EIA	Environmental Impact Assessment
EIS	Environmental Impact Statement
ENCAP	Environmentally Sound Design and Management Capacity Building Support for Africa (USAID Bureau for Africa project)
EPA	US Environmental Protection Agency
EMMP	Environmental Mitigation and Monitoring Plan
ESDM	Environmentally Sound Design and Management
ETOA	Environmental Threats and Opportunities Assessment
FAA	Foreign Assistance Act
GCC	Global Climate Change
GEMS	USAID Global Environmental Management Support Project
GH	Bureau for Global Health (USAID)
GHG	Greenhouse gases
GDA	Global Development Alliance
IEE	Initial Environmental Examination (22 CFR 216 documentation)
IP	Implementing Partner
LOP	Life-of-Project

M&E	Monitoring and evaluation
MEO	Mission Environmental Officer
NGO	nongovernmental organization
NRM	Natural resource management
OIG	USAID Office of the Inspector General
PAD	Project Appraisal Document
PERSUAP	Pesticide Evaluation Report & Safer Use Action Plan
PPE	Personal Protective Equipment
REA	Regional Environmental Advisor
Reg. 216	Regulation 216 (informal shorthand for 22 CFR 216)
RCE	Request for Categorical Exclusion (22 CFR 216 documentation)
REA	Regional Environmental Advisor
RFP/RFA	Request for Proposal/Request for Agreement
SAPMA	Smallholder Agricultural Productivity and Market Access Program
SO	Strategic Objective
SS	Site Selection
UN	United Nations
US	United States
USAID	United States Agency for International Development
USAID/W	USAID/Washington DC



## Environmental Compliance & Environmentally Sound Design and Management Resources

### *USAID Environmental Compliance & Related Links*

- <http://www.usaid.gov/what-we-do/environment-and-global-climate-change/environmental-compliance-0>
- 22 CFR 216  
[transition.usaid.gov/our\\_work/environment/compliance/reg216.pdf](http://transition.usaid.gov/our_work/environment/compliance/reg216.pdf)

### *Automated Directives System Series 200*

(with link to Chapter 204 & Environmental Compliance Language document)

- <http://www.usaid.gov/who-we-are/agency-policy/series-200>

### *Sectoral Best Environmental Practices Guidance*

- <http://www.usaidgems.org/bestPractice.htm>

### *Environmental Compliance Guidance:*

- <http://www.usaidgems.org/lop.htm>