

Asia Regional Environmental Compliance-ESDM Workshop

Bangkok, Thailand 26-29 August 2013

AGENDA

Day/Time	Module	Objective/Content Summary
Day 1	ation, Core Skills, Overview of USAID Environmental Compliance over Life-of-Project	
8:00-8:30	Participant Sign-in	
8:30-8:45	Welcome and Opening Statements	Highlight the value of workshop content and expected results.
8:45-9:30	Session 1: Workshop Objectives, Participant Introductions and Expectations, and Logistics	Articulate workshop plans, objectives, goals, and participants' introductions and expectations. Review the agenda and logistics.
9:30-10:15	Session 2a: Environmental Compliance for Environmentally Sound Design and Management (ESDM) <i>Technical presentation and dialogue</i> <i>(Project Lifecycle Phase: All)</i>	Understand that environment and development are non-separable, and "environment" is both biophysical AND social. Achieve a common, basic understanding of USAID's environmental procedures, the compliance requirements they establish over life-of-project, and, via short case study "vignettes," their importance to achieving good development outcomes.
10:15-10:45	Session 2b: "Environmental Considerations: Toward a Sustainable Future" <i>Video and discussion; participant examples from their own experience</i>	Video showing with follow-on facilitated discussion of ESDM challenges and opportunities for USAID and its Partners in Asia.
10:45-11:00	Break	
11:00-12:00	Session 3: Fundamental Environmental Impact Assessment (EIA) Skills and Concepts <i>Technical presentation and dialogue</i>	USAID's Environmental Procedures are a specific implementation of the general EIA process, and compliance requires applying a set of core EIA concepts and skills over life of project. Define key EIA terms—baseline, impact, activity—and learn essential classroom theory for baseline characterization, impact identification & mitigation design.

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12:00-12:30	Session 4: Practicing Fundamental EIA Skills: Virtual Field Visits <i>Photo Tour</i>	Practice information-gathering and observation skills needed to identify impacts/issues of concern
12:30-13:30	Lunch (independent)	
13:30-14:30	Session 5: <i>Group Work & Plenary Synthesis</i>	Synthesize field observations and prioritize impacts/issues of concern; discuss possible approaches for limiting adverse effects on the environment.
14:30-15:30	Session 6: Reg 216: USAID's pre-implementation EIA process <i>Technical presentation and dialogue</i> <i>(Project Lifecycle Phases:</i> <ul style="list-style-type: none"> <i>Planning Stage 2: Analysis; ,</i> <i>AND implementation (EAs & activity-level IEEs may occur during project implementation, but prior to implementation of the subject activities.)</i> 	Understand Reg. 216 as USAID's mandatory pre-obligation EIA process, and further understand that environmental mitigation and monitoring conditions established by this process become required elements of activity design and implementation. Become familiar with the entire Reg. 216 process. , with specific reference to its relationship with the project lifecycle: a threshold decision must be in place to finalize the PAD; EAs and activity-level IEEs be undertaken during project implementation, but PRIOR to implementation of the subject activities.
15:30-15:45	Break	
15:45-17:20	Session 7: Effective IEEs <i>Exercise orientation & group work</i>	Initial Environmental Examinations (IEEs) are USAID's version of the preliminary assessment and the most common type of Reg. 216 documentation. We work to develop an IEE based on the virtual field visit.
17:20-17:30	Daily Evaluations and Announcements	
Day 2: Life of Project Compliance & Sectoral Compliance & Good Practice		
8:15-8:25	Day 1 review & Day 2 prospectus	
8:25-8:55	Session 7, cont'd: Effective IEEs <i>Plenary report-out and synthesis</i>	

Day/Time	Module	Objective/Content Summary
8:55-9:45	Session 8: Fundamental EIA Skills 2: Environmental Monitoring and Environmental Mitigation and Monitoring Plans (EMMPs) <i>Technical presentation and dialogue</i>	<p>Monitoring is the essential complement to mitigation: its objective is to determine clearly and cost-effectively if mitigation is sufficient and effective. We will understand this objective, brief the two types of environmental monitoring indicators & achieve a common understanding of the principles of environmental monitoring design.</p> <p>EMMPs set out the mitigation and monitoring measures by which a project will respond and comply with IEE or EA conditions. We will understand the basic EMMP concept and formats. Introduce key guidance: <i>EMMP Factsheet</i></p>
9:45-10:15	Session 8: cont'd <i>“Conditions to Actions” discussion/exercise</i>	<p>Practice a key EMMP skill: Translating IEE conditions to specific mitigation actions</p>
10:15-10:30	Break	
10:30-11:30	Session 9: Indicators exercise <i>Small group exercise</i>	<p>Build and apply indicator design/selection skills (a key constituent skill for EMMP development) in a scenario-based small-group exercise centered on the Visual Field Guides.</p>
11:30-11:50	Session 10: Procurement & Env Compliance <i>Emphasis on indicators for success at each compliance stage</i>	<p>The ADS requires that procurement instruments include/reference IEE/EA conditions. But beyond this, setting the stage for env compliance/effective environmental management in project implementation requires assuring that bids reflect partner CAPABILITY for necessary environmental management, that cost proposals reflect these costs, and that contractual mandates are established to develop EMMPs and integrate them in workplans and budgets</p>
11:50-12:20	Session 11: Env Compliance in Implementation <i>Presentation & Q&A</i>	<p>Compliance and achieving ESDM in implementation requires that IPs develop and then implement EMMPs.</p> <p>For USAID to fulfill its compliance oversight and monitoring responsibilities, (1) IPs must report on environmental compliance/EMMP implementation, and (2) USAID field visits must examine environmental compliance</p>
12:20-12:30	Core content review/Q&A	<p>With core life-of-project compliance skills and processes covered, take time for a brief Review/Q&A session</p>

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12:30-13:30	Lunch (independent)	
13:30-15:00	Special Topics: Sectoral Good Practice and Compliance I Topics. Subproject Review & Pesticide Risks, Safer Use & USAID's Pesticide Procedures <i>Technical presentation and dialogue</i>	Briefings on environmental good practice for sectors of relevance to field visits. Includes intro to good practice resources, particularly USAID's <i>Sector Environmental Guidelines</i> .
15:00-15:30	Session 12: Field based EMMP development exercise <i>Part A: Briefing</i> Likely Technical Areas: 1. Health center/ hospital? 2. School? 3. Urban Solid or Liquid Waste Management Open-air food market	Briefing on the extended EMMP development exercise and the case sites that will form the basis of the exercise. Divide participants into case groups according to their thematic interests. Work in small groups
15:30-15:45	Break	
15:45-16:50	Session 12, cont'd: EMMP development exercise: small group work <i>Part B: Group Preparation</i>	Begin work in in small groups to develop EMMPs for project scenarios based on the field visits we will undertake at the beginning of Day 3.
16:50-17:00	Daily Evaluations and Announcements	
Day 3: Field Visits & EMMP Development		
8:15-13:00	Session 12, cont'd <i>Part C: Field Visits</i>	
13:00-14:00	Lunch (independent)	

Day/Time	Module	Objective/Content Summary
Day 4	Wrapping Up & Charting a Way Forward	
8:15-9:00	Session 13: Roles, Responsibilities & Resources	Synthesize and review Environmental Compliance Roles & Responsibilities. Introduce the key resources available to support environmental compliance and ESDM, including the GEMS website; ENCAP resources; RDMA engineer;
9:00-10:00	Session 14: Web based environmental tracking and compliance tool	Presentation and small group work on use of tool and possible needs of RDMA and Missions
10:00-10:30	Session 15: Environmental Compliance Jeopardy	Reinforce key “core session” content in a small-group “competition”
10:30-10:45	Break	
10:45-11:30	Session 16 <i>Part A:</i>	Strategies for achieving excellence in environmental compliance in the Region
11:30 -12:30	<i>Part B:</i> <i>Focus sessions & individual action plans</i>	Break into focus groups and work to identify key messages to communicate to mission management/sector team leaders (USAID staff) and COPs (IP staff) to prioritize and strengthen LOP environmental compliance; develop an individual plan for workshop follow-up to strengthen LOP environmental compliance in your project team, or mission/operating unit.
12:30-13:30	Lunch	
13:30-14:00	Session 16, cont’d <i>Part C: “Way Forward” plenary discussion</i>	Brief report-outs from the 2-3 focus sessions; highlights of individual action plans
14:00-14:30	Parking lot session <i>Plenary session</i>	Address unresolved questions or issues and summarize information presented throughout the training. <ul style="list-style-type: none"> • Base Camp introduction • Carbon neutral event
14:30-14:45	Session 17: Evaluations	
14:45-15:15	Closing & Certificates	

Asia Regional MEO-REA-BEO Retreat

Bangkok, Thailand 30 August 2013

Day/Time	Module	Objective/Content Summary
Day 5: MEO/REA/BEO RETERAT		
8:15-8:45	Setting the Stage	Purpose of the retreat
8:45-9:30	Session 1: MDB projects	Pending changes in Title XIII reviews
9:30-11:30	Session 2: Brainstorming <i>By table for 1 hour; plenary discussion for 1 hour Plenary (take tea break at mid-point)</i>	What is working well and why is it working well? What are the issues and obstacles and challenges for regional environmental compliance and why?
11:30-12:00	Session 3: Regional Priorities for Improving Compliance	Prioritize identified challenges and issues for regional environmental compliance.
12:00-12:45	Session 4: Special Topic: Compliance in the Context of Government-Government Assistance <i>Plenary</i>	RDMA RLA to discuss G2G issues and approaches to Reg. 216 compliance
12:45-13:45	Lunch	
13:45-14:30	Session 5: Improved Compliance – Lessons Learned <i>Plenary</i>	Share lessons learned from within the region of how compliance can and has been improved and discuss their broader application.
14:30-15:45	Session 6: Peer exchange and problem solving <i>Group work</i>	Discussion and problem-solving around issue areas and priorities for improved compliance. Identify root causes of key issues.
15:45-16:00	Break	
16:00-16:45	Session 7: Recommended Actions Items for Improving Environmental Compliance Capacities and Outcomes <i>Plenary</i>	Following on from discussion regarding problem solving for improved compliance, identify action items and brainstorm implementation strategies for follow-on actions.
16:45-17:00	Session 8: Closing <i>Plenary</i>	