



**USAID**  
FROM THE AMERICAN PEOPLE

# **Strategy for Environmentally Sound Design and Management - Southeast Asia and the Pacific**

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# Agenda:

## ❖ **Recap**

- *Why do Environmental Compliance and Regulation 216?*
- *Who is responsible?*
- *When should it be considered in the life of a project?*

## ❖ **The Dream – vision**

## ❖ **Approach**

## ❖ **Deliverables**

## ❖ **How to measure success**

# Why Do Environmental Compliance?

- ❖ Because it makes sense!!!!
- ❖ Environmental compliance extends best practices and results in better development outcomes.
- ❖ Keep us out of trouble. Even though the agency has good intentions, our programs can do harm if we are not thoughtful.

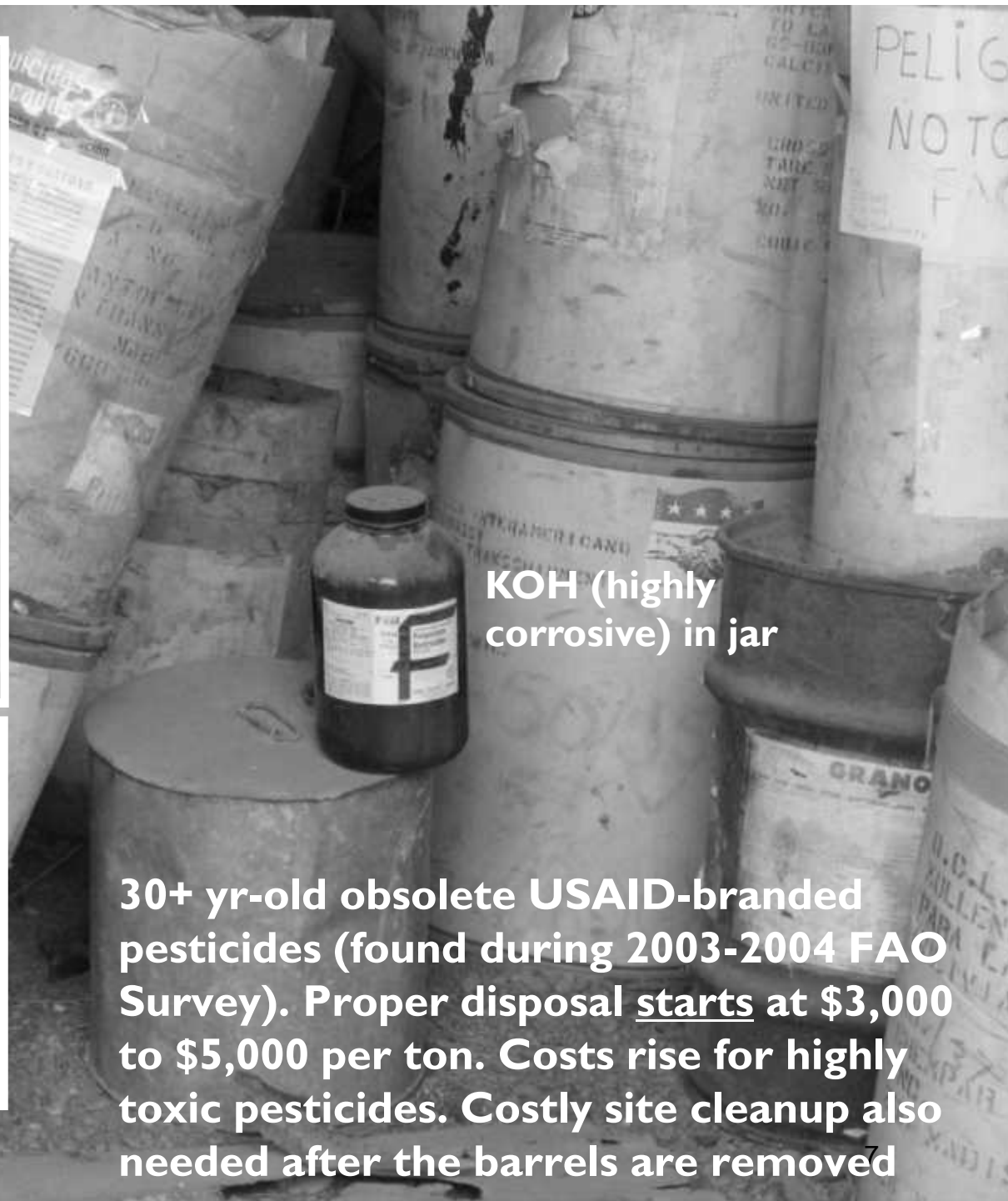


June 2011. An open pile of mixed medwaste behind Juba hospital drains to on-site agricultural fields behind the mortuary.



**June 2011. Open-air abattoir with uncontrolled effluent & waste disposal features a USAID-branded gate.**





**KOH (highly corrosive) in jar**

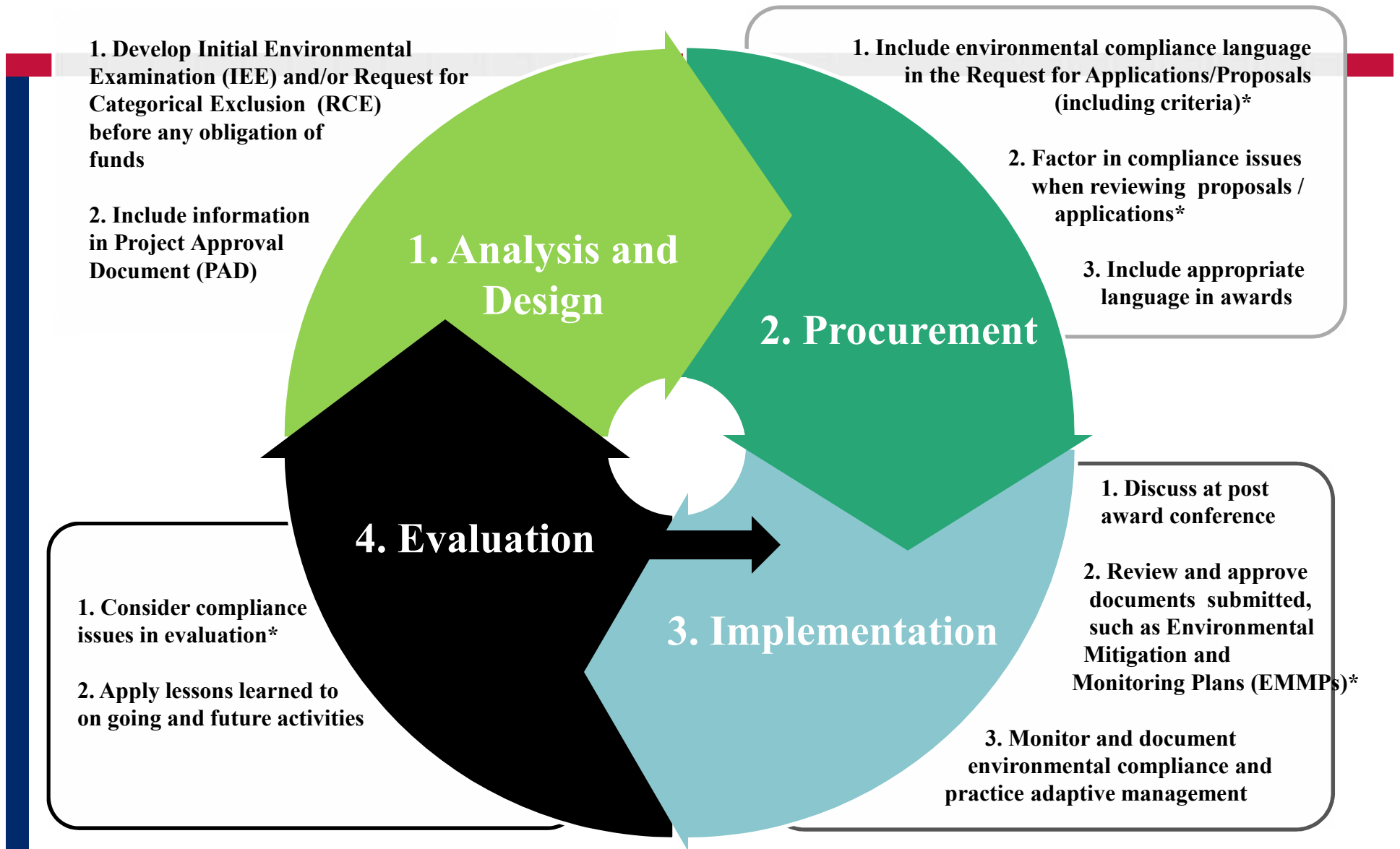
**30+ yr-old obsolete USAID-branded pesticides (found during 2003-2004 FAO Survey). Proper disposal starts at \$3,000 to \$5,000 per ton. Costs rise for highly toxic pesticides. Costly site cleanup also needed after the barrels are removed**

# Who Is Responsible?

- ❖ **Every USAID team member who has any role with USAID funded projects**
- ❖ **A/COR has primary responsibility for ensuring environmental compliance for any given sum of money (an implementing mechanism)**
- ❖ **Every partner implementing USAID funded projects**



# USAID Life of Project Environmental Compliance Milestones



✿ only applies to projects with a negative determination with conditions or positive determination

# Strategy - The Dream

- ❖ **USAID Missions and programs in Asia and the Pacific will achieve excellence in environmental compliance.**

# Priority Challenges to Overcome

**A major challenge is people have a misconception about environmental safeguards and thus do not know of its importance. Some even fear it.**

**A 2011 Audit identified three findings and recommendations which include:**

- ❖ Include Environmental Requirements in Solicitations and Awards**
- ❖ Properly Monitor Environmental Compliance**
- ❖ Formally Designate Officers for Overseeing Implementation of Environmental Compliance**

# Objectives

- ❖ **Various USAID staff and implementing partners are aware of the importance of environmental safeguards.**
- ❖ **They know their role and responsibility.**
- ❖ **They have the skills and tools to fulfill their mandate.**
- ❖ **They have a clear idea of metrics that will indicate success.**
- ❖ **Tools to streamline Initial Environmental Examinations and other procedures are in place so more time and energy can be spent downstream – implementation and monitoring.**

# Approach

- ❖ **Champions;**
- ❖ **Trainings;**
- ❖ **Job aids;**
- ❖ **Website;**
- ❖ **Updates and tips;**
- ❖ **Community of practice;**
- ❖ **Best Practice Reviews;**
- ❖ **Monitoring and evaluation.**

# Training and Mentoring

- ❖ **Provide face-to-face**
- ❖ **Offer 2 hour refresher, 1-2 day intensive, 1 week**
- ❖ **Target USAID staff and implementing partners**
- ❖ **Design to address gaps and to be interactive and FUN!**
- ❖ **Mentor (getting people the right information and tools at the right time)**
- ❖ **Expand champion network**

# Job Aids

- ❖ **Checklists**
- ❖ **Template**
- ❖ **Guidelines**
- ❖ **Turbo-tax for “Request for Categorical Exclusions” and “Initial Environmental Evaluations”**

# Website – Community of Practice

The screenshot shows a web browser window displaying the home page of the USAID ASIA Environmental Safeguards website. The browser's address bar shows the URL <https://sites.google.com/a/usaaid.gov/environmental-procedures/>. The page header includes the text "Home Updated Apr 5, 2013, 1:30 AM" and a "Share" button. The main content area features the USAID ASIA logo with the tagline "FROM THE AMERICAN PEOPLE" and the title "Environmental Safeguards". A search bar is located on the right side of the header. The left sidebar contains a navigation menu with the following items: Home, Templates and Guidance, Country Information (with sub-links for Burma, Cambodia, Indonesia, Philippines, The Pacific, RDMA, Timor Leste, and Vietnam), Tropical Forestry and Biodiversity, Videos, Community of Practice, References, and Sitemap. The main content area has a "Home" heading and a "Hello," greeting. Below the greeting is a paragraph: "Welcome to the Southeast Asia and Pacific environmental compliance website! This site aims to be a tool for a variety of users in implementing USAID's Environmental Compliance Procedures (Title 22, Code of Federal Regulations, Part 22.65), a law that is applied to every program, project and activity USAID funds to ensure the wise use of American taxpayer money." On the right side of the main content area, there is a "TIP OF THE WEEK" box with the text: "An Audit from 2011 recommended that USAID properly monitor environmental compliance. A best practice is for Missions to have a template for site visit reports that includes a section to monitor and document issues related to environmental compliance." The footer of the page contains the text: "Contact Site Activity | Report Abuse | Print Page | Report Abuse | Powered by Google Sites".



# Things to champion

## 3 basic rules:



\* Including from sources outside USAID (WB, ADB, etc.)

# Practice Adaptive Management

Adjust what we do as results come in—

adjusting implementation of our activity based on results from the field

If our activity has unintended environmental consequences, we need to **DO SOMETHING ABOUT IT!**

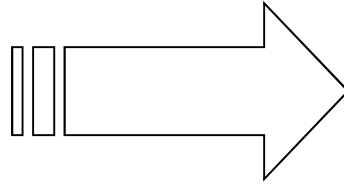
Communities are often essential to monitoring results from the field

Adaptive environmental management requires:

- A project budget that funds environmental monitoring
- The flexibility to adapt the project in response to unanticipated adverse impacts
- Adjusting implementation of our project based on the experiences of others

## ... and involve the local community

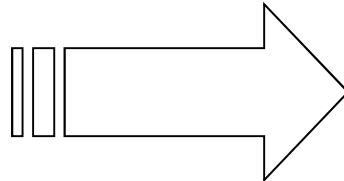
**Ethics require it  
(environmental justice)**



**Local residents must  
live with the  
environmental impacts  
of activities!**

**LOCAL KNOWLEDGE  
is critical**

- How often does the river flood?
- How often are crops rotated?
- Is there a land tenure problem?
- What do people value and need?



**LISTEN to the  
community**

**TALK to both  
men and women**



# Factoring Climate Change

- ❖ Regulation 216 procedures will be one vehicle to contribute to the Administrator's request.
- ❖ Design activities to account for variability (not averages) in climate and other factors



Please assist in “mainstreaming climate change into our development programs to ensure enduring success. This will require strategic thinking about how climate change will impact our entire development and humanitarian portfolio.”

# How to measure success

- ❖ **Use indicators for success that draw from the 2011 Audit and Best Practices Review standards.**
- ❖ **They will clarify roles and responsibilities.**
- ❖ **They allow Missions and others to measure progress in fulfilling our collective dream of excellence with environmental compliance.**

# Indicators for successful compliance

## Program Office; Front Office; Senior Staff

- ❖ **Portfolio Reviews address Environmental Compliance.**
- ❖ **Environmental Compliance Mission Order**
- ❖ **Mission Environmental Officer (MEO) and Deputy MEO appointment memo.**
- ❖ **Tropical Forest and Biodiversity Assessment (FAA 118/119).**
- ❖ **Opportunities for ongoing training and learning in environmental compliance are provided to staff and implementing partners.**

# Indicators for successful compliance

## Procurement Office

- ❖ **Compliance language is included in Request for Applications/Proposals (RFAs/RFPs) including criteria.**
- ❖ **Technical Evaluation Committees are assessing environmental compliance in their reviews of bids (including budgets).**
- ❖ **Compliance language is included in the awards**
- ❖ **Regulation 216 is highlighted at the post award conference.**

# Indicators for successful compliance

## A/COR / Activity Managers

- ❖ **Initial Environmental Assessments and other documentation are up to date and are in the A/COR file.**
- ❖ **All implementing partners have a copy of the compliance documents.**
- ❖ **Environmental Monitoring Mitigation Plans (EMMP) are submitted, reviewed and approved for projects with Negative Determination with Conditions.**
- ❖ **Site visits include looking at, documenting and addressing Regulation 216 issues.**



# Indicators for successful compliance

## Implementing Partners

- ❖ **Staff and partners are implementing their EMMPs (includes monitoring and documentation).**
- ❖ **Someone on the staff is responsible for it.**
- ❖ **Partner's have appropriate budget.**
- ❖ **IEE and other Regulation 216 documentation is in the partner's files.**
- ❖ **Sub-awards include appropriate language and are also compliant.**

A decorative L-shaped border is located in the top-left corner of the slide. It consists of a thick red horizontal line extending across the top, and a thick blue vertical line extending down the left side.

**Thank You!!!!**