



**USAID**  
FROM THE AMERICAN PEOPLE

# Session II: Environmental Analyses for Project Appraisal Documents

Asia Regional Environmental  
Compliance — ESDM Workshop  
Bangkok, Thailand  
July 2015



# OBJECTIVE – YOU WILL KNOW:

- How to complete an the Environmental Analysis as part of the Project Appraisal Document

# ENVIRONMENTAL ANALYSIS

- Is a mandatory analysis that is part of project design and the Project Appraisal Document
- Is done to ensure proper implementation of USAID Environmental Procedures.

# PROJECT DESIGN

Country Development  
Cooperation Strategy

◀ Tropical Forest and  
Biodiversity  
Assessment

## Project Design Process

Stage 1: Concept Paper

Stage 2: Analytical – Project  
Appraisal Document

◀ Environmental  
Analysis

Stage 3: Project Authorization

# OUTLINE



Templates with guidance and standard language are available.

## 1. Purpose and Scope

## 2. Existing Environmental Examinations and Analysis's

- Update on Existing, Ongoing Activities

## 3. Initial Environmental Examinations for Future Procurements

- When will they be done and who will do it

## 4. Specific Actions Moving Forward

# EXISTING IEEs

Implementing Mechanism	Initial Environmental Examination (IEE) title – Threshold Decision	Links to full document
ARREST Anti-wildlife trafficking project	Sustainable Management of Natural Resources and Biodiversity Conservation Program  Threshold Decision – Categorical Exclusion; Negative Determination with Conditions	Amendment 3 (12-24) <a href="http://gemini.info.usaid.gov/egat/envcomp/repository/pdf/38491.pdf">http://gemini.info.usaid.gov/egat/envcomp/repository/pdf/38491.pdf</a> Amendment 2 (10-148) <a href="http://gemini.info.usaid.gov/egat/envcomp/repository/pdf/37311.pdf">http://gemini.info.usaid.gov/egat/envcomp/repository/pdf/37311.pdf</a> Amendment 1 (08-73) <a href="http://gemini.info.usaid.gov/egat/envcomp/repository/pdf/2828.pdf">http://gemini.info.usaid.gov/egat/envcomp/repository/pdf/2828.pdf</a> Parent (ANE-05-202) <a href="http://gemini.info.usaid.gov/egat/envcomp/repository/pdf/2796.pdf">http://gemini.info.usaid.gov/egat/envcomp/repository/pdf/2796.pdf</a>
NOAA Inter-agency agreement		Amendment 2 (Asia 14-44) <a href="http://gemini.info.usaid.gov/egat/envcomp/repository/pdf/39764.pdf">http://gemini.info.usaid.gov/egat/envcomp/repository/pdf/39764.pdf</a> Amendment 1 (14-04) <a href="http://gemini.info.usaid.gov/egat/envcomp/repository/pdf/39756.pdf">http://gemini.info.usaid.gov/egat/envcomp/repository/pdf/39756.pdf</a> Parent (13-12) <a href="http://gemini.info.usaid.gov/egat/envcomp/repository/pdf/38958.pdf">http://gemini.info.usaid.gov/egat/envcomp/repository/pdf/38958.pdf</a>
Mekong Partnership of the Environment	Sustainable Mekong  Threshold Decision – Categorical Exclusion	
Smart Infrastructure for Mekong		



# ENVIRONMENTAL COMPLIANCE DATABASE



Originating Bureau Countries **Advanced Search** Reports

## Environmental Compliance Database

Specify additional criteria below.

Conduct this Search

Clear All

Source Document Text Search

Fiscal Year Approved -- to present

### Environmental Threshold Determination

- Categorical Exclusion
- Negative Determination
- Positive Determination
- Deferral
- Exemption

Select documents with  of the selected determinations.

### Document Type

- Request for Categorical Exclusion
- Initial Environmental Examination
- Environmental Assessment

Document Title

File #

Award #

# UPDATE ON IEEs

Implementing Mechanism (IM)	Threshold Decision - Categorical Exclusion (CE) and / Negative Determination with Conditions (NDC) - Finding from Review –	Follow-up actions
Environmental Protection Agency Inter-agency agreement	CE – IEE is still valid	None
Small grant capacity building project	CE – IM still within scope of IEE	<p>AOR will continue to screen submitted concept notes and Scopes of Work to see if the proposed activities fall within the Categorical Exclusion Request.</p> <p>Mechanism will be extended and IEE will need to be amended to cover the additional year by August 30, 2015. AOR will complete this.</p>



# FUTURE PROCUREMENT

Mechanism	Projected Life-of - Project Amount	Projected Date of Solicitation/ Award	Date IEE will be Finalized (signed by BEO)	Who is responsible for the IEE?	Notes – status of IEE
The Oceans and Fisheries Partnership	19,970,000	FY2015	Completed	NA	Completed – Tracking number (ASIA- 15-006)
Sustainable Energy Initiative	2,910,000	FY2015	June 2015	Sarah Bieber	Will draft during design
Reearch PEER	110,000	FY2016	May 2016 If supplemental IEE is needed	Craig	Programmatic IEE is complete – the award may need a Supplemental IEE pending activities

# ENVIRONMENTAL ANALYSIS CAN

- Take stock of Existing Environmental Examinations and Analysis's
- Identify a plan for completing Initial Environmental Examinations for Future Procurements
- Outline Specific Actions Moving Forward

NOTE: once a threshold decision is made you will need to document and get approval of this in the Initial Environmental Examination (IEE).