

2018 Africa Regional Advanced Workshop on Environmental Compliance and Environmentally-Sound Design and Management

Looking beyond the agricultural fields, addressing emerging challenges to strengthen development outcomes in a changing world.

Southern Sun Hotel—Maputo, Mozambique 7–11 May 2018

Sponsored by USAID Bureau for Africa/Office of Sustainable Development with support from USAID/Mozambique

Workshop Goals and Objectives:

The overall goal of the workshop is to strengthen development outcomes and sustainability of USAID actions in sub-Saharan Africa by assuring that participants have the motivation, knowledge, and skills *beyond the introductory level* necessary to: (1) achieve environmental compliance and address climate risk management over the program cycle; and (2) otherwise integrate environmental considerations in activity design and management.

There will be particular focus on compliance, CRM and environmentally sound design and management (ESDM) with respect to new ways of doing business and complex, evolving intervention contexts. The workshop will also create a space for communication between USAID/Washington and the field to help best address compliance and ESDM challenges.

Approach to Learning:

The workshop design is field-based and emphasizes practical application and peer-to-peer learning. Specifically:

- Skills and processes briefed in presentations will be built and practiced in hands-on exercises conducted in small working groups.
- As an advanced workshop, basic material is covered in quick, intensive review. Presenters will summarize key content quickly, to allow time in each session for discussion and Q&A.
- Key integrative exercises are built around site visits and case studies
- Session formats include group work, panels, and “world cafés”—but even presentation-centered sessions are intended to be interactive. Please ask questions and, as importantly, share and discuss your own experiences relevant to the topic at hand.

Overview:

- Day 1 Framing the Mozambique development and environmental contexts. Life-of-program environmental compliance intensive review Energizing our environmental lenses, including field visit.
- Day 2 Advanced topics and introduction to CRM.
- Day 3 Site visits and small-group collaboration.
- Day 4 Site visit read-out, advanced topics, and drafting CRM screening.
- Day 5 Advanced topics, messages to leadership, and individual action plans

Day/Time	Module	Objective/Content Summary
Day 1. Setting the stage: Introduction, Environmental Procedures Bootcamp, Challenges, and the Country Context		
8:30 – 9:00	Participant Sign-In	
9:00 – 9:15	Welcome and Opening Statements	Greeting from the Mission. Unpacking our theme.
9:15 – 10:00	Session 1: Workshop Objectives, Logistics, and Introductions	Overview of agenda and learning approach. Review logistics. Participant introductions.
10:00 – 10:45	Session 2: Mozambique Context <i>USAID/Mozambique-led session</i>	Review and discuss social and environmental context of Mozambique relevant to environmental compliance and ESDM. Overview of Mission activities. Highlight of urban and peri-urban challenges at the forefront in Mozambique.
10:45 – 11:00	Break	
11:00 – 11:45	Session 3: USAID Environmental Procedures: Intensive Review <i>Technical presentation</i>	Review USAID’s environmental procedures (i.e. its implementation of the EIA process) over the program cycle, including IEE and EMMP development, reporting, and implementation.
11:45-12:30	Session 4: Environmental Compliance Realities and Challenges <i>Small-group facilitated peer discussion</i>	Following the intensive review of life-of-program procedures above, participants share their experiences regarding the challenges and realities of environmental compliance and ESDM in practice. Key issues identified are added to the “parking lot” and addressed over the workshop
12:30 – 13:30	Lunch	
	Session 5: EIA Skill-Building/Refresher	Overall session goal: Build/refresh knowledge and field skills for identifying impacts of concern and appropriate mitigation –foundational skills for environmental compliance and ESDM.
13:30 –14:15	5a: Impact Identification and Mitigation Theory <i>Technical presentation</i>	Presents key concepts and theory/principles for identifying impacts of concern and appropriate mitigation
14:15 – 16:00 <i>(includes return)</i>	5b: Field Practicum <i>Practicum briefing Small group prep, field visit, and synthesis of observations Close-in field visit/ Small-group practicum</i>	We practice good site visit preparation & habits, baseline characterization, identification of impacts of concerns, and mitigation selection via 3 “site walk” options. This session includes the practicum briefing, small group prep, site walk field visit, and post-visit group work.
16:00 – 17:00	5c: Field Visit Readouts and Good Practices <i>Facilitated Discussion</i>	Groups provide read-out focused on baseline conditions, impacts of concern, and mitigation in-place or recommended. This is followed by a short discussion on general good practices for environmental monitoring and site selection field visits.
Day 2. Advanced Topics and Introduction to CRM		
8:30 – 9:15	Session 6: Stakeholder	Introduce the stakeholder engagement factsheet and discuss need for and approaches to stakeholder engagement in environmental compliance/

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	Engagement for Environmental Compliance <i>Technical presentation</i>	environmental and social impact assessment. Potential relevant ADS204 revisions will be noted.
9:15 - 10:00	Session 7: Social Impact Assessment <i>Technical presentation</i>	Discuss requirements and standards of practice for assessing and addressing social impacts and gender issues. Includes examine of RAPs and land tenure issues. Potential relevant ADS204 revisions will be noted.
	Session 8: An IEE or an EA? Where is the threshold? - A Case Study	This session uses a case study (Malawi SEED and AMAA construction activity) involving cumulative, indirect and social impacts to apply stakeholder engagement and social impacts learning and investigate the threshold between negative and positive determinations.
10:00 – 10:30	8a: Briefing	Presentation of the virtual case study and instructions to working groups
10:30 – 10:45	Break	
10:45 – 11:30	8b: Discussion & Quick Synthesis <i>Small -group facilitated peer discussion</i>	Small group discussions focus on the process, timing, and tools for considering whether the action is an Negative Determination with conditions or a Positive Determination, with recommendations for next steps.
11:30-12:15	Session 9: ADS Chapter 204 Revision Update & Standard Reg 216 documentation Templates <i>Plenary Session & Small-group review of IEE and EMMP templates</i>	Update on the ADS 204 revision process and key proposed changes in the draft revised ADS 204 now entering agency review. Briefing on the standard Reg 216 documentation templates developed concurrently with the ADS 204 revision. We then walk-through the IEE & EMMP templates in small groups, generating questions and feedback.
12:15 – 13:15	Lunch	
13:15-14:00	Session 10: MMEMS Environmental and Performance Monitoring System <i>Guest presentation and demo</i>	USAID/Mozambique introduces the MMEMS activity and progress to date. MSI demos the automated program to capture environmental compliance reporting.
14:00- 15:00	Session 11: Water Quality Assurance Plans (WQAPs) <i>Technical presentation</i>	Briefing on status of WQAP guidance. Review WQAP expectations, including water quality requirements, options for mixed use water points, appropriate sampling techniques, reviewing water quality data. Brief Madagascar experience presented here
15:00 – 15:15	Break	
16:15 – 17:00	Session 12: Climate Change in Southern Africa <i>Technical presentation</i>	Overview of the climate trends and climate threats in Mozambique and Southern Africa. Sectoral impacts/risks associated with USAID programs in Southern Africa and development and human well-being more broadly.
15:15- 16:15	Session 13: Introduction to CRM <i>Technical presentation</i>	Introduction to USAID's CRM policy, process and tools/resources. Results of the CRM stocktaking.

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	Session 14: Integrative Site Visit	This day-long site visit program integrates concepts and learning from the first 2 day of the workshop and informs discussion of further topics on days 4 & 5.
8:30-9:30	Session 14a: Briefing & Group Preparation <i>Briefing & Group Preparation</i>	Objectives of the visit, logistics, and components of the exercise are briefed. We divide into site visit working groups (5-7 in each group), review briefing materials, and organize for the visit per the instructions
9:30 – 12:00	Session 14b: Field Visit Session 1 <i>Field Visit</i>	Groups depart for their first site and execute the field visit instructions. See briefing materials
12:00 – 13:00	Lunch	Lunch on the road
13:00 – 15:30	Session 14c: Field Visit Session 2 <i>Field Visit</i>	Groups continue to their second site and execute the field visit instructions. See briefing materials.
15:30 – 15:45	Break	
15:45- 17:00	Session 14d: Group Discussion <i>Group preparation</i>	Groups work to respond to briefing questions and prepare presentations.
Day 4 Site visit read-out, advanced topics, and drafting CRM screening.		
8:30 – 10:00	Session 14e: Field Visit Presentations <i>Group presentations</i>	Present field visit findings, analysis, and processes.
10:00 – 10:15	Break	
10:15 – 11:15	Session 15: Issues in Health Care Waste Management <i>Technical presentation and discussion</i>	Brief and discuss issues around health care waste management at USAID and the developing world, illustrated by case study from USAID/Kenya. Insights into solutions and approaches to waste management.
11:15- 12:15	Session 16: Pesticide Compliance, IPM and Safer Use <i>Technical presentation</i>	Format TBD. This session is not an introduction to the pesticide procedures, but rather focused on specific challenges and emerging issues. Update on efforts to standardize the PERSUAP template and AFR's planned "PERSUAP implementation field review."
12:15 – 13:15	Lunch	
13:15 – 13:45	Session 17: Climate Adaptation for CRM <i>Technical presentation</i>	This session briefs the concept and principles of climate adaptation as an essential element of CRM and describes entry points and techniques for integrating adaptation into project/activity design.
13:45- 14:15	Session 18: CRM Experts Panel <i>Panel discussion</i>	Experts share their insights into the CRM process and how to conduct effective climate risk screening and follow-through during project & activity design. Discuss data collection, climate analysis & stakeholder engagement for CRM.
14:15- 15:15	Session 19: Drafting an activity climate risk screening <i>Practicum in small-groups</i>	Using activity scenarios based on the Wednesday site visits, we practice CRM skills and concepts by drafting a Climate Risk screening in working groups. Participants use hands on tools for drafting CRM such as climate links and sectoral annexes.

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15:15 – 15:30	Break	
15:30 - 17:00	Session 20: Regional Working Groups	We divide into working groups by sub-region (EA, WA, SA) and REAs facilitate discussion and networking in each group regarding sub-regional environmental compliance/CRM/ESDM issues, priorities, and concerns.
Day 5: Advanced topics, messages to leadership, and individual action plans		
8:30 – 10:15	Session 21: World Cafe <i>Rotating small-group mini-briefing and Q&A</i>	Topic experts discuss technical topics in short-format concurrent sessions. (4 sets of 4-5 concurrent sessions, each consisting of 5-10 min presentation and 10-15min discussion). Participants attend the topics of their choice. Topics to be defined by voting during days 1 & 2
10:15– 10:30	Break	
10:30 – 11:15	Session 22: Clearing the Parking Lot <i>Plenary session</i>	Address unresolved questions or issues remaining from the parking lot initiated in session 4 & accumulated over the workshop.
11:15 – 12:00	Session 23: Bringing Curricula to Reality <i>Group discussions followed by individual action planning</i>	Key messages to leadership. With the technical training now complete, participants work in 2-4 groups to identify key environmental priorities and challenges for USAID activities in Africa and messages for the AEC, BEOs, and mission management Individual action planning: Using the provided action plan form, each of us identifies practical actions that we can operationalize following the training. Brief sharing of actions with the group.
12:00 - 12:30	Session 24: Workshop Final Evaluations and Closing Ceremony	We complete evaluation forms. Closing ceremony and certificates.
12:30 – 13:30	Lunch, Networking & Consultations	
14:00 –18:00	Follow-on event: BEO/REA Retreat	