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FROM THE AMERICAN PEOPLE



# Environmentally Sound Design & Management: a Foundation for Environmental Compliance

GEMS Environmental Compliance-ESDM Training Series  
Rwanda ▪ March, 2015

# Environment – the Big Picture

## What is Environment?

- Webster's defines it as “The *totality of circumstances* surrounding an organism or group of organisms, especially:
  - The complex of **physical, chemical, and biotic factors** (e.g. climate, soil, and living things) that affect and influence the growth, development, and survival of an organism or an ecological community
  - The complex of **social and cultural conditions** affecting the nature of an individual or community.

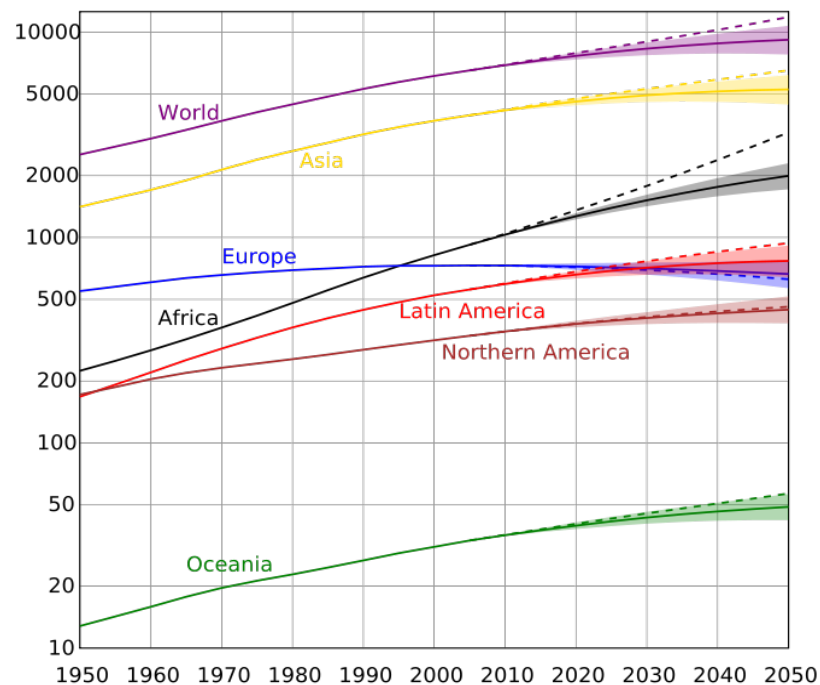
- ❖ USAID's environmental procedures are concerned with the “natural and physical environment,” but in practice social and cultural issues are often not separable

**What are some “big-picture” environmental trends affecting human health and livelihoods in Eastern Africa?  
Are they important in Rwanda?**

# 1. Population growth

## UN Population estimates:\*

	2015	2050	% change
World**	7.28 bn	9.31 bn	+28%
Africa**	1.15 bn	2.19 bn	+90%
E. Africa**	395mn	869mn	+120%
Rwanda	12 mn	25 mn	+108%
Less-Developed Regions**	6.03 bn	7.99 bn	+32.5%
LDCs	931 mn	1.73 bn	+86%



\* All data: “medium variant” projection.

UN Population Division ([http://esa.un.org/wpp/unpp/panel\\_population.htm](http://esa.un.org/wpp/unpp/panel_population.htm))

\*\*Includes Rwanda

**Increasing  
Population in  
Rwanda**

**LEADS  
TO**

**Increased demands for water, land,  
timber, energy, infrastructure & social  
services. Increased waste production.**

# 2. Urbanization

## UN Population estimates:\*

	Urban pop as % of total		Average annual rate of change (2010- 2015)
	2014	2050	
World**	54%	66%	0.9%
Africa**	40%	56%	1.1%
Eastern Africa**	25%	44%	1.7%
Rwanda	28%	53%	3.7%
Less-Developed Regions**	48%	63%	1.2%
LDCs**	31%	49%	1.7%

\* UN Population Division  
(<http://esa.un.org/unpd/wup/highlights/wup2014-highlights/wup2014/pdf>)

\*\*includes Rwanda

**Most urban growth in the next 35 years in developing countries**

**LEADS TO**

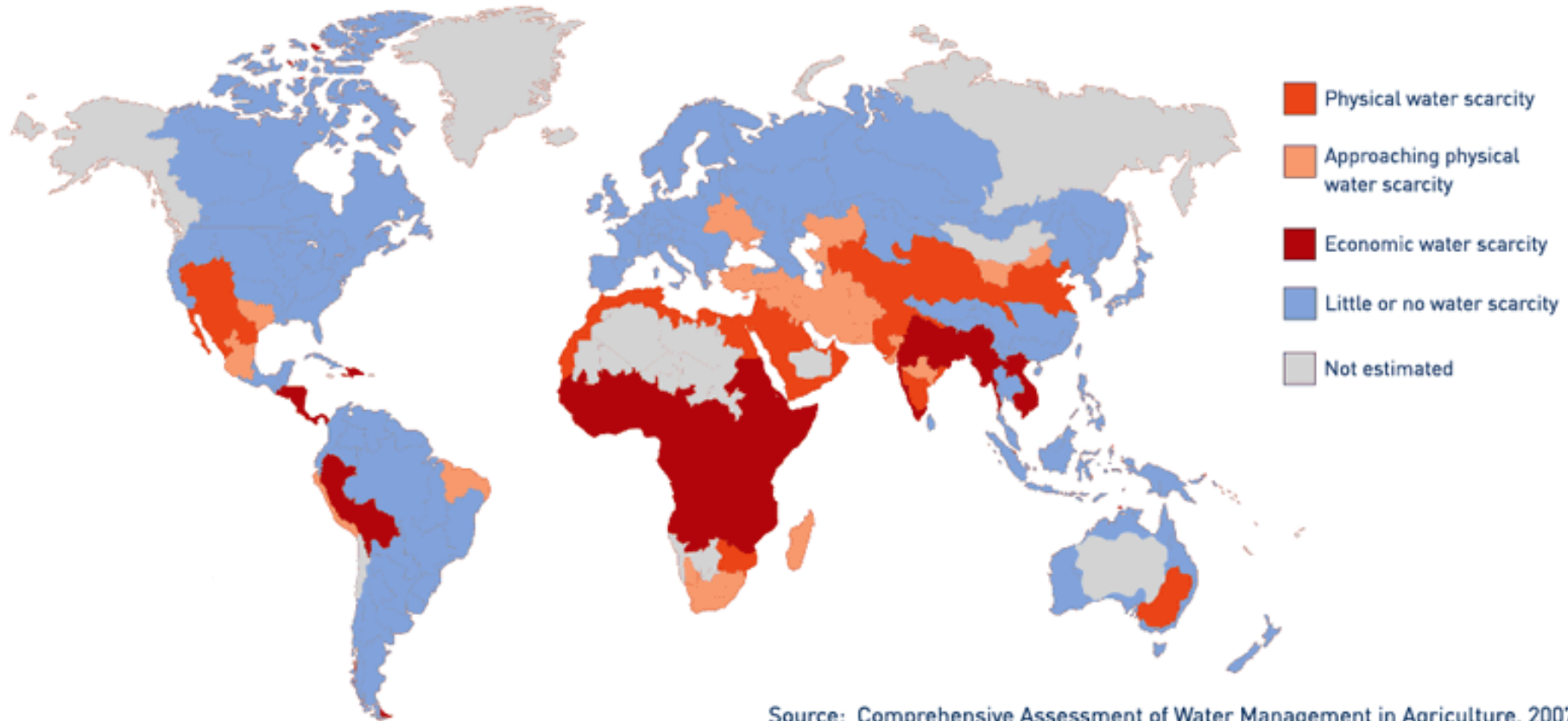
**Increased urban environmental health hazards (given poor or no municipal sanitation & waste management capacity).**



**Urban population will grow more than 2X as fast as rural population for the foreseeable future**

**Global change + population growth = INCREASED WATER STRESS**  
Greatest impacts on poor, subsistence agriculture.

### AREAS OF PHYSICAL AND ECONOMIC WATER SCARCITY



Source: Comprehensive Assessment of Water Management in Agriculture, 2007

# Environment and development are not separable

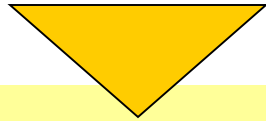
- ❖ Much of USAID's portfolio in the region is already a direct response to or directly affected by these environmental trends
- ❖ But good development does not simply respond to external environmental challenges. Good development ...
  - is **AWARE** of its **potential adverse impacts on ecosystems, environmental resources and environmental quality** and
  - **PROACTIVELY seeks to limit** these adverse impacts, particularly **where they affect health and livelihoods**

→ **Why? To avoid MISTAKES...**

# Why are “environmental mistakes” made?

Sometimes obvious (previous examples).

But often difficult to foresee, predict



Often rooted in a few  
common design problems



Failure to plan for the effects  
of increased scale

Designing for average  
conditions

Ignoring economic-  
environmental linkages

Failure to understand system  
complexity

# Common root causes #1

**!** Failure to plan for the effects of increased scale

*Or, failure to plan for success!*



The environmental effects of a small-scale animal husbandry project may be minor

**BUT if the project is successful, and many more individuals begin to hold larger numbers of animals, serious problems may arise. . .**

**Health hazards from animal waste. . .**  
**Fodder shortages (may lead to overgrazing and erosion and/or land conflicts)**





Global change will affect both average conditions & expected variability

# Common root causes #2



Designing for average conditions, not expected variability



This schoolhouse is being **rebuilt** in makeshift fashion with plank walls and a split-bamboo roof.

Why? Strong winds ripped the aluminum sheet roofing off the “permanent” structure and toppled the landcrete walls.

In this area, one or two storms every 5 years typically have winds of this strength.

Other “average conditions” to be careful of: **Rainfall, tides, water tables. . . What else?**

# Common root causes #3

## ! Ignoring economic-environmental linkages

*Another failure to plan for success!*

Household consumption depends on income.

Success in raising income in a community may increase

- demand for building materials (brick & timber)
- the number of livestock,
- demand for water
- generation of waste, including disposable packaging

All can have significant adverse environmental impacts!



# Common Root Cause #4: Failure to understand system complexity

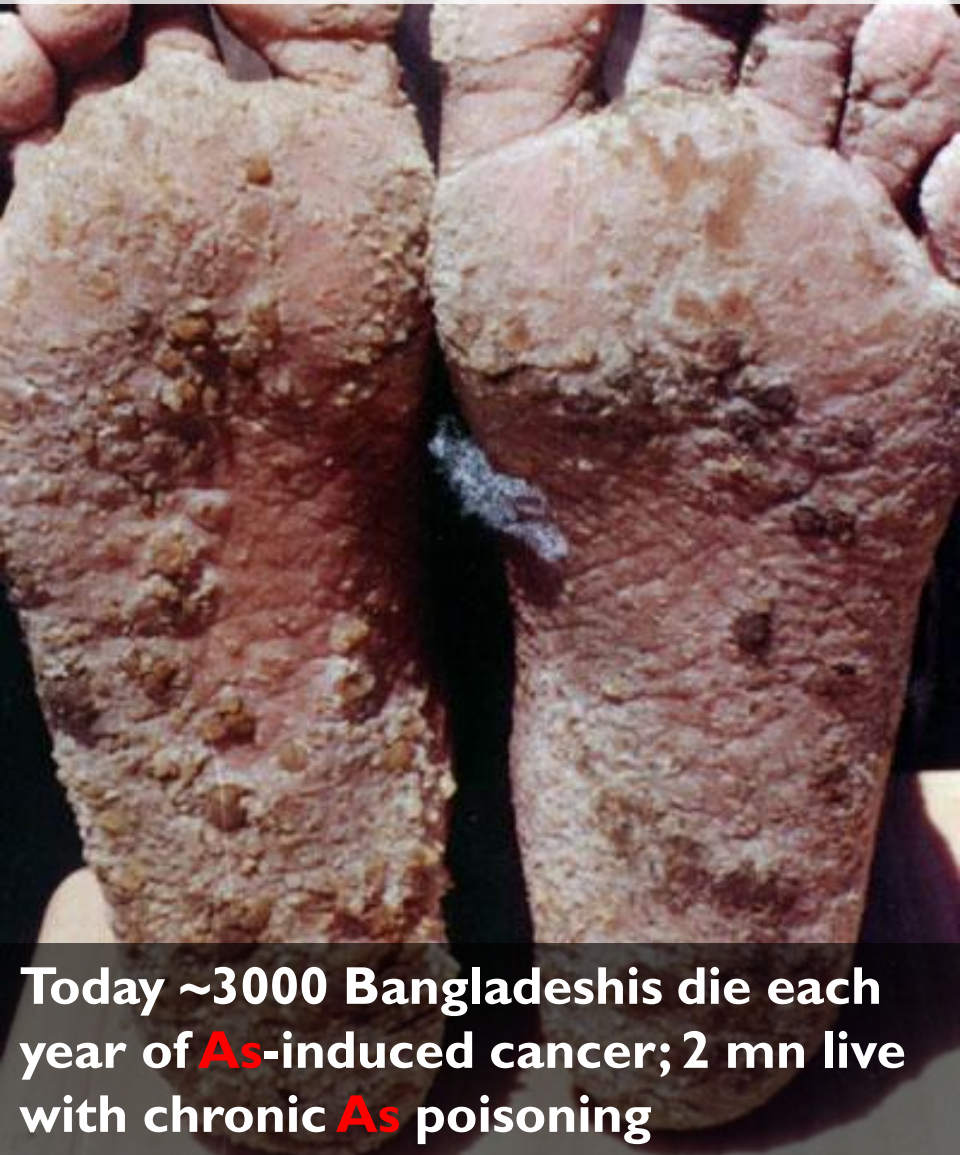


Photo: UNESCO-IHE

Ponds excavated for fill to build-up ground level in villages for flood protection

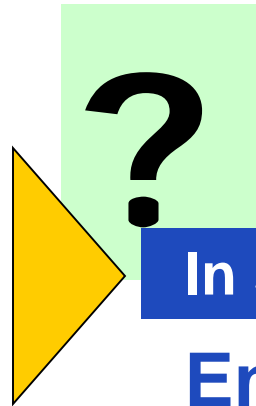
Ponds provided a source of organic carbon which settles to bottom of pond, seeps underground and is metabolized by microbes

Created conditions for mass arsenic poisoning when villages switched from surface water to “cleaner” tube wells.

creates chemical conditions that cause naturally occurring arsenic to dissolve out of the sediments and soils and move into groundwater

Today ~3000 Bangladeshis die each year of **As**-induced cancer; 2 mn live with chronic **As** poisoning

**How can we avoid these environmental mistakes (and maximize environmental benefits)?**



**In short, how can we achieve . . .**

**Environmentally Sound Design & Management (ESDM)?**

# How do we achieve ESDM?

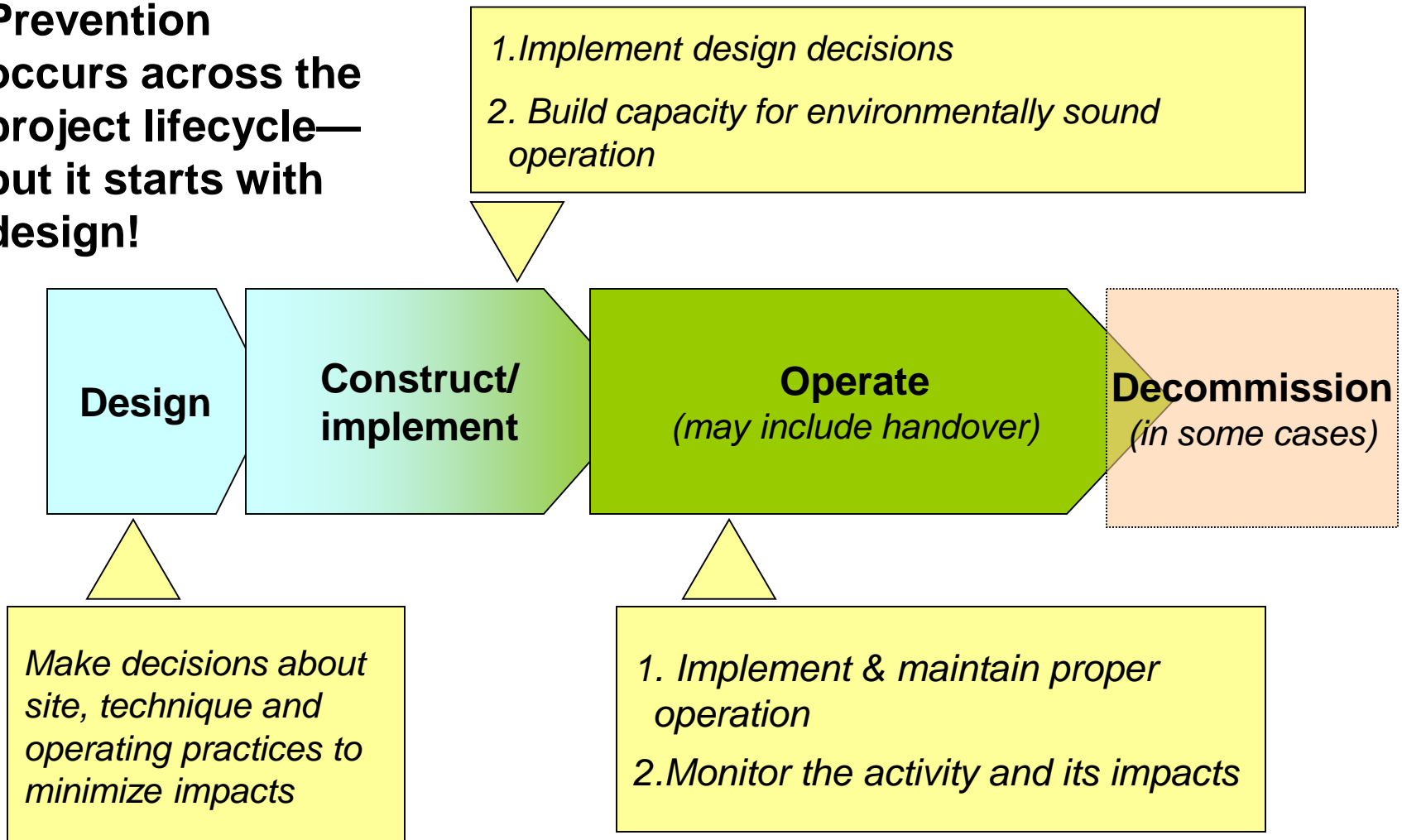
## 3 basic rules:



# 1

## Be prevention-oriented

**Prevention occurs across the project lifecycle—but it starts with design!**








# ESDM is prevention-oriented

- ❖ Prevention starts with **DESIGN**
- ❖ **DESIGN** starts with the choice of **means**.
- ❖ **Environmental impacts** are 1 factor considered

Objective
<i>Improve agricultural productivity</i>

→ **Possible means**      **How do we choose?**

 <p><i>Change use of agricultural inputs?</i></p>	 <p><i>Introduce improved crop varieties?</i></p>	 <p><i>Change cultivation practices?</i></p>
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## 2 Apply best practices

Apply general best development practices. . .

A technically sound design

To build beneficiary capacity & stakeholder commitment

To design for the local social & policy context

To adjust what we do as results come in

. . .to environmental aspects of the activity

**AND design for climate change**



# BP #1: Technically sound design

## Environmental application:

The design must be appropriate for local environmental conditions ....taking into account likely climate change.

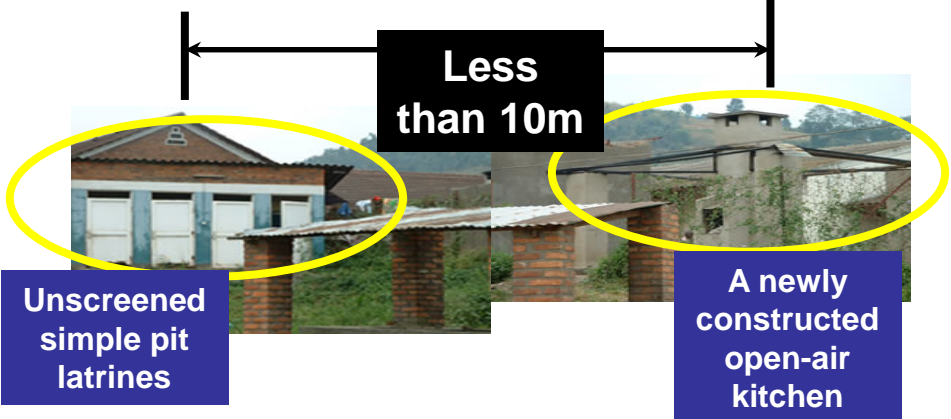
... Rainfall, temperature, soils, flood, drought and earthquake potential, the built environment. . .

## For example. . .

**?** Appropriate choice of crops or trees?

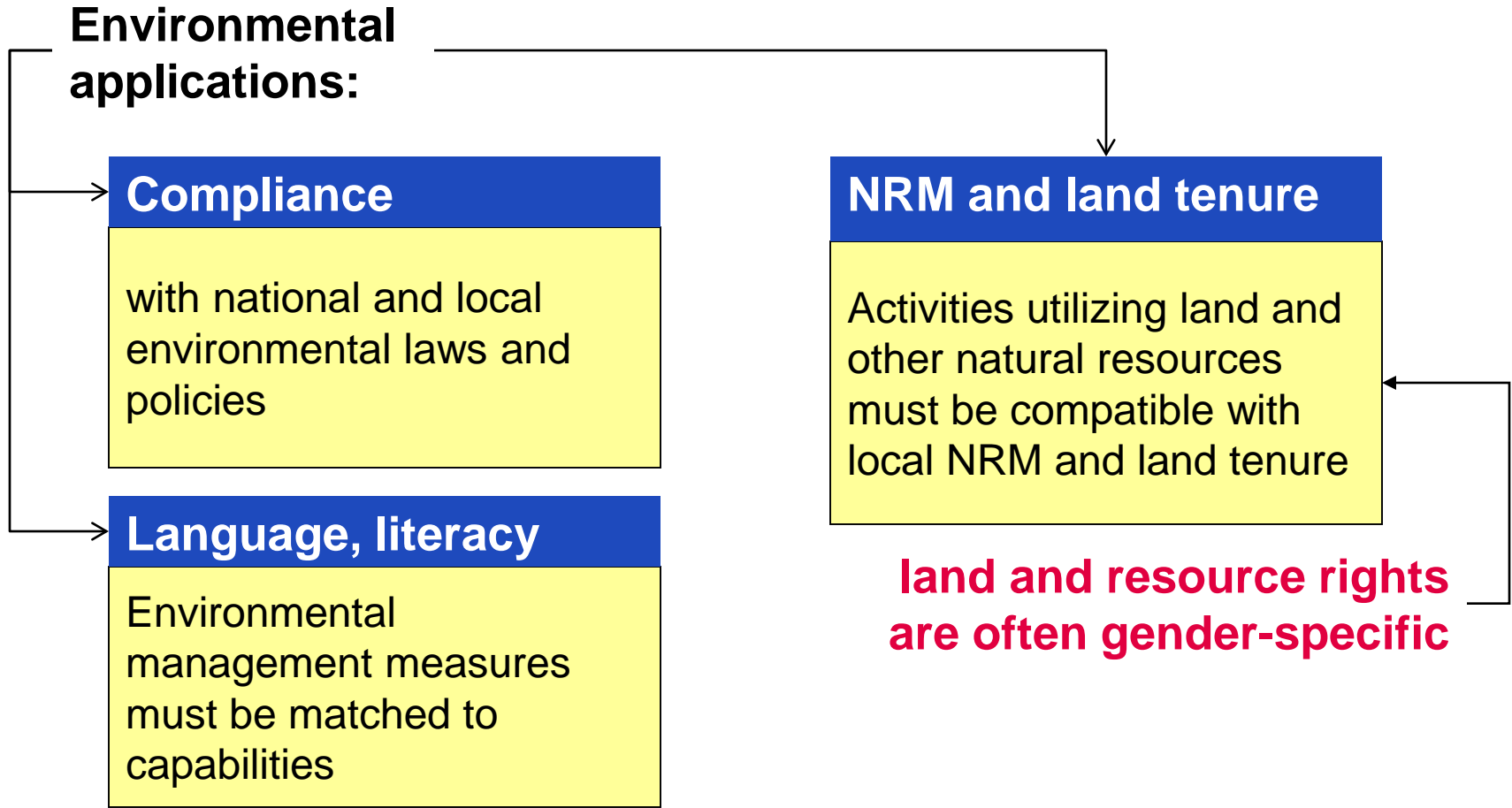


**?** Appropriate choice of siting?





# BP #2: Design for the policy and social context



# BP #3: Build stakeholder commitment & capacity

## Environmental application:

Proper maintenance and operation are critical to controlling environmental impacts.

Local beneficiaries need to be trained and committed to:

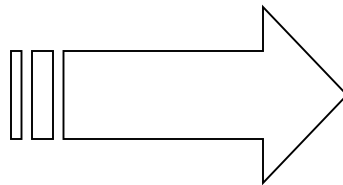
- environmentally sound operation.
- maintain the equipment/structure



Who will maintain it?  
Who will operate it?

# ... and involve the local community

**Ethics require it  
(environmental justice)**



**Local residents must  
live with the  
environmental impacts  
of activities!**

**LOCAL KNOWLEDGE  
is critical**

- How often does the river flood?
- How often are crops rotated?
- Is there a land tenure problem?
- What do people value and need?



**LISTEN to the  
community**

**TALK to both  
men and women**





# BP #4: Adjust what we do as results come in

## Practice Adaptive management –

adjusting implementation of our activity based on results from the field

If our activity has unintended environmental consequences, we need to **DO SOMETHING ABOUT IT!**

Communities are often essential to monitoring results from the field

## Adaptive environmental management requires:

- A project budget that funds environmental monitoring
- The flexibility to adapt the project in response to unanticipated adverse impacts
- Adjusting implementation of our project based on the experiences of others

# BP #5: Design for Climate Change

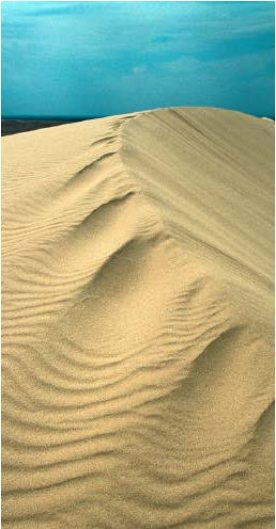
Already mentioned:  
Climate change will affect future baseline conditions—projects must be designed to be **ROBUST** to these conditions



**USAID  
Policy!**

**While individual projects are rarely significant contributors to GCC...  
...climate change is driven by the sum of many small actions.**

**So even small-scale projects should seek to reduce GHG emissions/increase sequestration/ reduce climate vulnerability in the local area in a manner consistent with their development objectives.**





# Best Practice: Design for Climate Change

## Example actions in small-scale projects:

reduce **GHG** emissions



Use alternative energy (PV, windmill water pumping, etc)

Improve thermal performance in building design

Buy carbon offsets for int'l travel.

reduce climate vulnerability in the local area



Prioritize water efficiency to reduce a project's contribution to the area's future water stress

increase sequestration



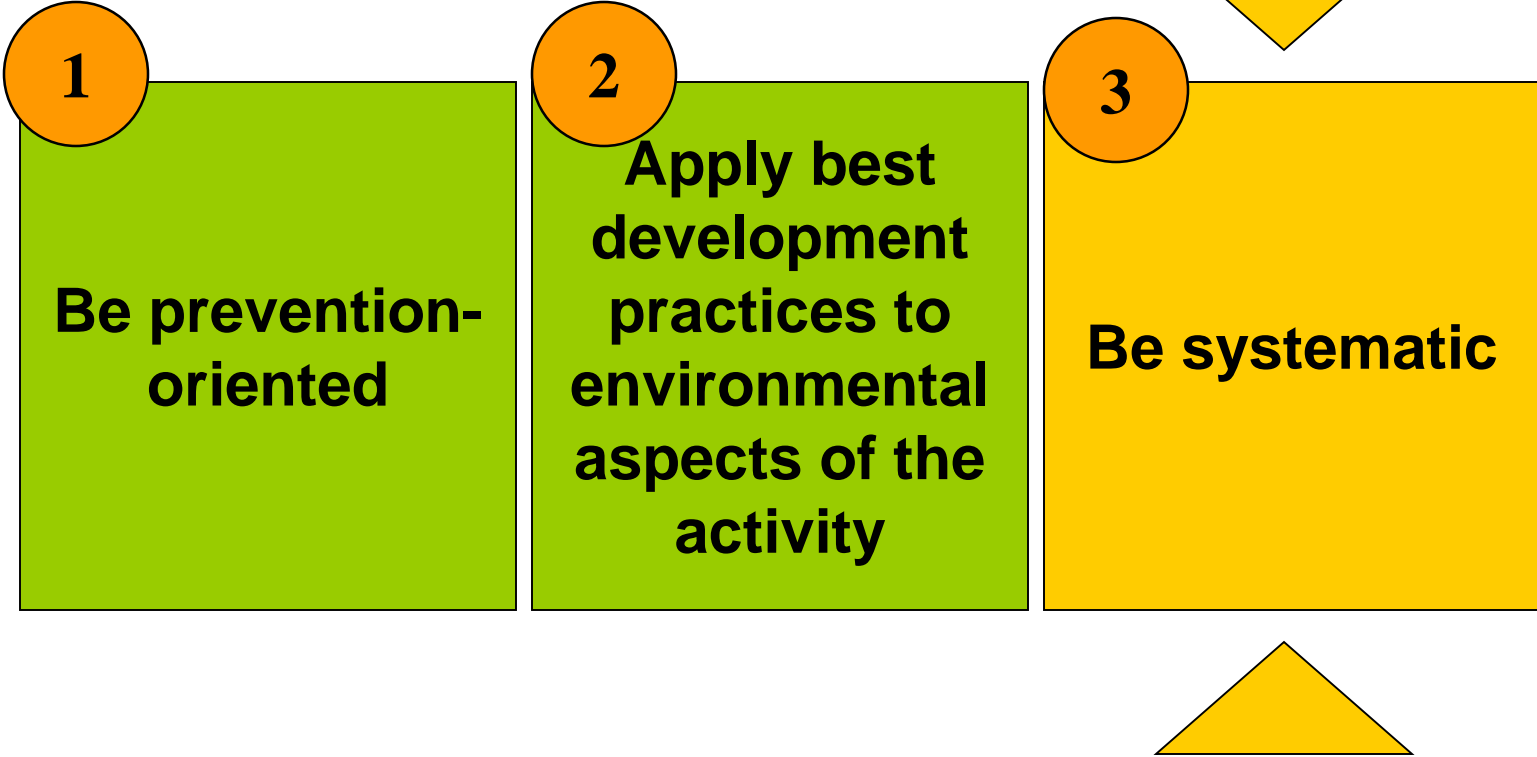
Tree-planting.

Land management (sustainable grazing, cropping)



Soil carbon measurement by hand in Senegal

# Now, rule 3 for achieving ESDM...





Take a **systematic look at:**

- the possible adverse environmental impacts of an activity
- ways to reduce these impacts.

**The best way to be systematic:**

**Environmental Impact Assessment (EIA)!**